



U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE

GP 2765

AMENDMENT TRANSMITTAL LETTER

Docket Number
2656/2

Application Number
08/976,159

Filing Date
November 21, 1997

Examiner
Romain Jeanty

Art Unit
2765

Invention Title
**ENHANCED MATCHING APPARATUS AND
METHOD FOR POST-TRADE PROCESSING AND
SETTLEMENT OF SECURITIES TRANSACTIONS**

Inventor(s)
BRANDER, et al.

99 MAY 20 AM 9:36
GROUP 2700

RECEIVED

Address to:
Assistant Commissioner for Patents
Washington, D.C. 20231

Transmitted herewith is an Amendment for entry in the above-identified application:

1. The filing fee has been calculated as shown below:

| | CLAIMS REMAINING AFTER AMENDMENT | | HIGHEST NUMBER PREVIOUSLY PAID FOR | PRESENT NUMBER EXTRA | RATE (\$) | FEE (\$) |
|-----------------------------------|---|-------|---|----------------------------|-----------|----------|
| TOTAL CLAIMS | 36 | minus | 32 | 4 | 18.00 | 76.00 |
| INDEPENDENT CLAIMS | 9 | minus | 9 | 0 | 78.00 | 0.00 |
| MULTIPLE DEPENDENT CLAIM ADDED | | | | | 260.00 | 0 |
| | | | | TOTAL | | 76.00 |
| | | | | SMALL ENTITY TOTAL | | |

2. Please charge the required additional claims fee of **\$76.00** to the deposit account of **Kenyon & Kenyon**, deposit account number **11-0600**.

3. The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to the deposit account of **Kenyon & Kenyon**, deposit account number **11-0600**:
- A. Any additional filing fees required under 37 C.F.R. § 1.16;
 - B. Any additional patent application processing fees under 37 C.F.R. § 1.17;
 - C. Any additional patent issue fees under 37 C.F.R. § 1.18;
 - D. Any additional document supply fees under 37 C.F.R. § 1.19;
 - E. Any additional post-patent processing fees under 37 C.F.R. § 1.20; or
 - F. Any additional miscellaneous fees under 37 C.F.R. § 1.21.
4. A duplicate copy of this letter is enclosed.

Dated: 5/10/99

By: 
Caleb J. Pollack (Reg. No. 37,912)

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